

Applicants: J. SPENCER GRANT ET AL.

Serial No.: 09/815,885

Atty Docket No. 9437.11

Filing Date: MARCH 23, 2000

For: METHOD AND APPARATUS FOR CHARACTERIZING AND ESTIMATING THE PARAMETERS OF HISTOLOGICAL AND PHYSIOLOGICAL BIOMETRIC MARKERS FOR AUTHENTICATION

U.S. Patent Documents

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date
<u>Q</u> A1.	4,537,484	08/27/85	Fowler et al.	354	62	01/30/84
<u>Q</u> A2.	4,544,267	10/01/85	Schiller	356	71	03/07/83
<u>Q</u> A3.	4,699,149	10/13/87	Rice	128	664	03/19/85
<u>Q</u> A4.	4,728,186	03/01/88	Eguchi et al.	356	71	03/01/88
<u>Q</u> A5.	4,784,484	11/15/88	Jensen	356	71	04/28/86
<u>Q</u> A6.	5,073,950	12/17/91	Colbert et al.	382	2	04/13/89
<u>Q</u> A7.	5,077,803	12/31/91	Kato et al.	382	4	09/14/89
<u>Q</u> A8.	5,088,817	02/18/92	Igaki et al.	356	71	11/17/89
<u>Q</u> A9.	5,103,486	04/07/92	Grippi	382	4	04/19/90
<u>Q</u> A10.	5,230,025	06/20/93	Fishbine et al.	382	4	08/31/90
<u>Q</u> A11.	5,335,288	08/02/94	Faulkner	382	4	02/10/92
<u>Q</u> A12.	5,623,552	04/22/97	Lane	382	124	08/12/95
<u>Q</u> A13.	5,719,950	02/17/98	Osten et al.	382	115	08/30/95
<u>Q</u> A14.	5,737,439	04/07/98	Lapsley et al.	382	115	10/29/96

Prior Art Cited by Applicants

While the filing of prior art statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper prior art statement, Form PTO-1449 shall be accompanied by an explanation of relevance of each listed item, a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all prior art citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also

Examiner:

Date Considered:

*Jessica J. Reiche*

05/10/07

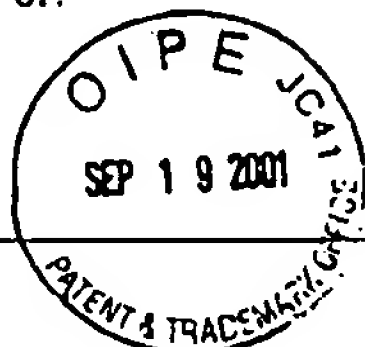
\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicants: J. SPENCER GRANT ET AL.

Serial No.: 09/815,885

Atty Docket No. 9437.11

Filing Date: MARCH 23, 2000

For: METHOD AND APPARATUS FOR CHARACTERIZING AND  
ESTIMATING THE PARAMETERS OF HISTOLOGICAL AND  
PHYSIOLOGICAL BIOMETRIC MARKERS FOR  
AUTHENTICATION

initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as prior art cited by the Examiner on Form PTO-892.

The reference designations "A1", "A2", etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A", "B", "C", etc. on Office Action Form PTO-1142.

::ODMA\PCDOCS\DOCS\578247\1

  
Examiner:05/10/07  
Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicants:

J. Spencer Grant, et al.

Atty Docket No. 9437.11

Serial No.:

09/815,885

Filing Date:

March 23, 2001

For

METHOD AND APPARATUS FOR CHARACTERIZING AND ESTIMATING THE  
PARAMETERS OF HISTOLOGICAL AND PHYSIOLOGICAL BIOMETRIC MARKERS  
FOR AUTHENTICATION

U.S. Patent Documents

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date
<del>A1.</del>	<del>4,537,484</del>	<del>08/27/85</del>	<del>Fowler et al.</del>	<del>354</del>	<del>62</del>	<del>01/30/84</del>
<del>A2.</del>	<del>4,544,267</del>	<del>10/01/85</del>	<del>Schiller</del>	<del>356</del>	<del>71</del>	<del>03/07/83</del>
<del>A3.</del>	<del>4,699,149</del>	<del>10/13/87</del>	<del>Rice</del>	<del>128</del>	<del>664</del>	<del>10/31/85</del>
<del>A4.</del>	<del>4,728,186</del>	<del>03/01/88</del>	<del>Eguchi et al.</del>	<del>356</del>	<del>71</del>	<del>02/27/86</del>
<del>A5.</del>	<del>4,784,484</del>	<del>11/15/88</del>	<del>Jensen</del>	<del>356</del>	<del>71</del>	<del>12/04/86</del>
<del>A6.</del>	<del>5,073,950</del>	<del>12/17/91</del>	<del>Colbert et al.</del>	<del>382</del>	<del>2</del>	<del>04/13/89</del>
<del>A7.</del>	<del>5,077,803</del>	<del>12/31/91</del>	<del>Kato et al.</del>	<del>382</del>	<del>4</del>	<del>09/14/89</del>
<del>A8.</del>	<del>5,088,817</del>	<del>02/18/92</del>	<del>Igaki et al.</del>	<del>356</del>	<del>71</del>	<del>11/17/89</del>
<del>A9.</del>	<del>5,103,486</del>	<del>04/07/92</del>	<del>Grippi</del>	<del>382</del>	<del>4</del>	<del>04/19/90</del>
<del>A10.</del>	<del>5,230,025</del>	<del>07/20/93</del>	<del>Fishbine et al.</del>	<del>382</del>	<del>4</del>	<del>08/31/90</del>
<del>A11.</del>	<del>5,335,288</del>	<del>08/02/94</del>	<del>Faulkner</del>	<del>382</del>	<del>4</del>	<del>02/10/92</del>
<del>A12.</del>	<del>5,623,552</del>	<del>04/22/97</del>	<del>Lane</del>	<del>382</del>	<del>124</del>	<del>08/15/95</del>
<del>A13.</del>	<del>5,666,400</del>	<del>09/09/97</del>	<del>McAllister et al.</del>	<del>379</del>	<del>67</del>	<del>07/07/94</del>
<del>A14.</del>	<del>5,719,950</del>	<del>02/17/98</del>	<del>Osten et al.</del>	<del>382</del>	<del>115</del>	<del>08/30/95</del>
<del>A15.</del>	<del>5,737,439</del>	<del>04/07/98</del>	<del>Lapsley et al.</del>	<del>382</del>	<del>115</del>	<del>10/29/96</del>
<del>A16.</del>	<del>5,774,571</del>	<del>06/30/98</del>	<del>Marshall</del>	<del>382</del>	<del>119</del>	<del>07/27/95</del>
<del>A17.</del>	<del>5,987,232</del>	<del>11/16/99</del>	<del>Tabuki</del>	<del>395</del>	<del>187.01</del>	<del>09/09/97</del>
<del>A18.</del>	<del>6,104,913</del>	<del>08/15/00</del>	<del>McAllister</del>	<del>455</del>	<del>41</del>	<del>03/11/98</del>
<del>A19.</del>	<del>6,104,922</del>	<del>08/15/00</del>	<del>Baumann</del>	<del>455</del>	<del>410</del>	<del>03/02/98</del>
<del>A20.</del>	<del>6,148,094</del>	<del>11/14/00</del>	<del>Kinsella</del>	<del>382</del>	<del>124</del>	<del>09/30/97</del>
<del>A21.</del>	<del>6,164,403</del>	<del>12/26/00</del>	<del>Wuidart</del>	<del>180</del>	<del>287</del>	<del>12/23/98</del>
<del>A22.</del>	<del>6,171,112</del>	<del>01/09/01</del>	<del>Clark et al.</del>	<del>434</del>	<del>322</del>	<del>06/09/99</del>
<del>A23.</del>	<del>6,182,892</del>	<del>02/06/01</del>	<del>Angelo et al.</del>	<del>235</del>	<del>380</del>	<del>03/25/98</del>

\*previously  
cited in PTO 1449  
of 09/19/01\*

Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicants: J. Spencer Grant, et al.

Atty Docket No. 9437.11

Serial No. 09/815,885

Filing Date: March 23, 2001

For: METHOD AND APPARATUS FOR CHARACTERIZING AND ESTIMATING THE  
PARAMETERS OF HISTOLOGICAL AND PHYSIOLOGICAL BIOMETRIC MARKERS  
FOR AUTHENTICATION

FEB 27 2002

PATENT &amp; TRADEMARK OFFICE

<input checked="" type="checkbox"/>	A24.	6,193,153	02/27/01	Lambert	235	380	04/13/98
<input checked="" type="checkbox"/>	A25.	6,202,151	03/13/01	Musgrave et al.	713	186	12/31/97
<input checked="" type="checkbox"/>	A26.	6,208,264	03/27/01	Bradney et al.	340	825.31	05/21/98
<input checked="" type="checkbox"/>	A27.	6,225,890	05/01/01	Murphy	340	426	03/20/98
<input checked="" type="checkbox"/>	A28.	6,232,874	05/15/01	Murphy	340	426	03/13/00
<input checked="" type="checkbox"/>	A29.	6,269,348	07/31/01	Pare, Jr. et al.	705	39	01/29/99
<input checked="" type="checkbox"/>	A30.	6,275,806	08/14/01	Pertrushin	704	272	08/31/99
<input checked="" type="checkbox"/>	A31.	6,289,453	09/11/01	Walker et al.	713	175	09/08/98

Foreign Patent Documents

Examiner Initial*	Document Number	Publ. Date	Country or Patent Office	Sub Class	Class	Trans- lation
<input checked="" type="checkbox"/>	A32.	2000-181871	06/30/00	Japan		Yes

References Cited by Applicants

While the filing of prior art statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper prior art statement, Form PTO-1449 shall be accompanied by an explanation of relevance of each listed item, a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all prior art citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as prior art cited by the Examiner on Form PTO-892.

The reference designations "A1", "A2", etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A", "B", "C", etc. on Office Action Form PTO-1142.

604781.1

Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.